

PRESTON DuFAUCHARD
California Corporations Commissioner
ALAN S. WEINGER (CA BAR NO. 86717)
Deputy Commissioner
MICHELLE LIPTON (CA BAR NO. 178078)
Senior Corporations Counsel
DEPARTMENT OF CORPORATIONS
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Attorneys for Complainant

BEFORE THE DEPARTMENT OF CORPORATIONS
OF THE STATE OF CALIFORNIA

In the Matter of)	CASE NO.: 2010110238
)	
THE CALIFORNIA CORPORATIONS)	FILE NO. 923-6045
COMMISSIONER,)	
)	ORDER BARRING BRIAN D. GIBBS FROM
Complainant,)	ANY POSITION OF EMPLOYMENT,
v.)	MANAGEMENT OR CONTROL OF ANY
)	INVESTMENT ADVISER, BROKER-
BRIAN D. GIBBS,)	DEALER OR COMMODITY ADVISER
)	
Respondent.)	
)	
)	
)	
)	

Pursuant to the Stipulation Agreement entered into between The California Corporations Commissioner (COMMISSIONER) and Brian D. Gibbs ("GIBBS"), GIBBS is hereby:

1) Barred for 3 years from any position of employment, management or control of any broker-dealer, investment adviser or commodity adviser pursuant to Corporations Code section 25232.1;

2) Disallowed from petitioning the COMMISSIONER for reinstatement or reduction of penalty as provided in and subject to the provisions of Government Code section 11522; and

ORDER BARRING BRIAN D. GIBBS FROM ANY POSITION OF EMPLOYMENT,
MANAGEMENT OR CONTROL OF ANY INVESTMENT ADVISER, BROKER-DEALER OR
COMMODITY ADVISER

Nothing herein shall prevent RESPONDENT from applying for registration as an investment adviser, investment adviser representative or associated person of an investment adviser in any other jurisdiction after the expiration of the 3-year bar.

Dated: June 14, 2011

PRESTON DUFAUCHARD
California Corporations Commissioner

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MANAGEMENT OR CONTROL OF ANY INVESTMENT ADVISER, BROKER-DEALER OR
COMMODITY ADVISER